

07-08-04

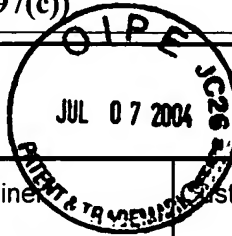
JFW

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))					Docket No. 15257.3.2	
In Re Application Of: John M. Guynn						
Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.	
10/729,000	December 5, 2003	Andrea M. Valenti	022913	3643	9102	
Title: CHILD RESTRAINT DEVICE AND METHOD						
<p>Address to:</p> <p>Commissioner for Patents</p> <p>P.O. Box 1450</p> <p>Alexandria, VA 22313-1450</p>						
37 CFR 1.97(b)						
1. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.						
37 CFR 1.97(c)						
2. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:						
<input checked="" type="checkbox"/> the statement specified in 37 CFR 1.97(e);						
OR						
<input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).						

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
15257.3.2

In Re Application: John M. Guynn



Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/729,000	December 5, 2003	Andrea M. Valenti	022913	3643	9102

CHILD RESTRAINT DEVICE AND METHOD

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☐ The Director is hereby authorized to charge and credit Deposit Account No. _____ as described below.
- ☐ Charge the amount of _____
- ☐ Credit any overpayment.
- ☐ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. _____)
_____ (Date)
_____ Signature
_____ Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on _____ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.
_____ Signature of Person Mailing Correspondence
_____ Typed or Printed Name of Person Mailing Certificate

*This certificate may only be used if paying by deposit account.



Signature

Dated: July 7, 2004

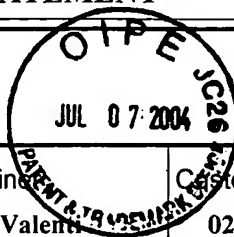
John M. Guynn
Attorney for Applicant
Reg. No. 36,153
Customer No. 022913

CC:

**STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING
INFORMATION DISCLOSURE STATEMENT**

Docket No.
15257.3.2

In Re Application Of: **John M. Guynn**



Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/729,000	December 5, 2003	Andrea M. Valente	022913	3643	9102

Invention: **CHILD RESTRAINT DEVICE AND METHOD**

COMMISSIONER FOR PATENTS:

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

Check applicable statement herebelow:

Statement Under 37 CFR 1.97(e)(1)

- ☒ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Statement Under 37 CFR 1.97(e)(2)

- ☐ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.


Signature

Dated: July 7, 2004

Certificate of Mailing by First Class Mail

I certify that this document is being deposited on
with the U.S. Postal Service as first
class mail under 37 C.F.R. 1.8 and is addressed to the
Commissioner for Patents, P.O. Box 1450, Alexandria, VA
22313-1450.

Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Correspondence

John M. Guynn
Attorney for Applicant
Reg. No. 36,153
Customer No. 022913

CC:



PATENT APPLICATION

Docket: 15257.3.2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

John M. Guynn

Serial No.: 10/729,000

Filed: December 5, 2003

For: CHILD RESTRAINT DEVICE AND METHOD

Examiner: Andrea M. Valenti

)
)
)
)
) Art Unit
) 3643
)
)
)
)
)

EXPRESS MAILING LABEL NO. EV 533104191 US


CERTIFICATE OF MAILING BY "EXPRESS MAIL"

I hereby certify that following documents are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated below in an envelope addressed to: Commissioner of Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Date of deposit: July 2, 2004.

- Transmittal for Supplemental Information Disclosure Statement (2 pgs.)
- Statement Under 37 CFR 1.97(e) Accompanying Supplemental Information Disclosure Statement (1 pg.)
- Supplemental Information Disclosure Statement (2 pgs.)
- Form PTO-1449 (2 pgs.)
- Copy of 1 cited reference
- Postcard

Respectfully submitted,


Kimberly Kendrick
Paralegal to John M. Guynn

EXPRESS MAIL LABEL NO.: EV 533104191 US

PATENT APPLICATION

Docket No.: 15257.3.2



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

John M. Guynn

Serial No.: 10/729,000

Filed: December 5, 2003

For: CHILD RESTRAINT DEVICE AND METHOD

Examiner: Andrea M. Valenti

)
)
)
)
) Art Unit
) 3643
)
)
)
)
)
)

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record

believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

In accordance with 37 C.F.R. § 1.98(c), all English translations within the possession, custody, control or availability of anyone designated in 37 C.F.R. § 1.56(c) of each nonenglish reference, if any, are also enclosed.

Please credit any over payment or charge any additional fees to Deposit Account No. 23-3178 of the undersigned.

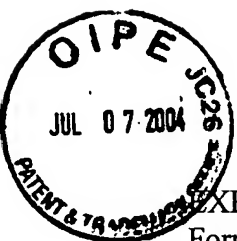
Dated this 7th day of July 2004.

Respectfully submitted,



John M. Guynn
Attorney for Applicant
Registration No. 36,153
Customer No. 022913

JMG:kk
KKK0000003614V001



EXPRESS MAIL LABEL NO.: EV 533104191 US

Form PTO-1449

Sheet 1 of 2

Applicant: John M. Guynn

Examiner: Andrea M. Valenti

Serial No.: 10/729,000

Att'y Docket No.: 15257.3.2

Filing Date: December 5, 2003

Art Unit: 3643

For: CHILD RESTRAINT DEVICE AND METHOD OF USE

SUPPLEMENTAL INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

U.S. Patent Documents

<u>Examiner Initial*</u>	<u>Patent Number</u>	<u>Issue Date</u>	<u>Name</u>	<u>Filing Date</u>
_____ A1.	5,634,439	06/03/97	O'Brien	02/06/96

Foreign Patent Documents

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Publ. Date</u>	<u>Country or Patent Office</u>	<u>Sub Class</u>	<u>Class</u>	<u>Trans- lation</u>
_____ A2.	GB 2 194 131	03/02/98	UK			

Other Documents

(including author (if listed), title, relevant pages, date of publication including at least month and year).

Examiner

Initial*

NONE

Examiner:

Date Considered:

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.